# Test Criteria: 170.315.d.4 Amendments

|  |  |
| --- | --- |
| **Testing Result** |  |
| Participant and Product-with-version |  |
| Setting (Ambulatory or Inpatient) |  |
| Test Proctor |  |
| Test Date |  |
| Test Result | Pass:  Fail:  No Attempt: |
| Error Description (if applicable) |  |
| Modifications to Product Under Test |  |
| Additional Software Used |  |
| Additional Proctor Notes |  |

### Overview

In this document you will find:

* [Test Data and Test Tools](#_Test_Data_and)
* [Standards Support](#_Demonstrate_Standards_Support)
* [Drummond Test Report (Instructions, Expected Results, Points to Remember)](#_170.315(d)(4)_Amendments)
* [Test Procedures](#_Test_Procedures)
* [Appendix A: Testing Guide](#_Appendix_A:_Testing_1)
* [Appendix B: ONC Criteria](#_Appendix_B:_ONC)

### Version of ONC Test Method

1.0

### Scope of Proctoring Sheet

The ONC test method associated with this criterion is the only approved test method for EHR Meaningful Use certification. This Proctoring Sheet is not a replacement test method but a test procedure document for performing the ONC test method and recording the results. Proctoring Sheet describe test data, test criteria and expected results. It is assumed the Health IT developer or Participant under Test is familiar with the associated ONC test method.

# Robustness and Reliability Requirement

To satisfy the module criteria, it is expected that the Product-Under-Test is able to complete the testing requirements reliably, including repeat testing with the same result without error, and with a satisfactory level of robustness. This includes unexpected error messages produced through normal operation, multiple unintended restarts of the application or any other “buggy” facets of the product displayed while testing. These errors are record in the Additional Proctor Notes of the proctor sheet. Lack of reliability and robustness of design will result in failure of the module.

# Test Data and Tools

|  |  |
| --- | --- |
| **Test Data Source:** | ONC-Supplied  DG-Supplied:  Developer-Supplied: |
| **Pre-Test Data Setup:**  Health IT developer prepares (2) test patient records with no existing amendments. | |
| **Test Data:**  Developer-supplied. | |
| **Test Tools:**  Not applicable. | |

# Demonstrate Standards Support

|  |  |
| --- | --- |
| **Test Result:** | PASS:  FAIL:  No Attempt: |
| **Instructions:** There are no standards required for this criterion. | |

# 170.315(d)(4) Amendments

|  |  |
| --- | --- |
| **Test Result:** | PASS:  FAIL:  No Attempt: |
| **P&S applies to all criteria:** | YES:  NO: |
| **If not, list applicable criteria:** |  |
| **Instructions:**   * Append an accepted amendment and a denied amendment request, along with denial, within patient record or add a link indicating location of amendment(s). | |
| **Expected Test Result:**   * Enable a user to select the record affected by a patient’s request for amendment and append:  1. Append accepted amendment within patient record or add a link indicating the location of the amendment; and 2. Append request and denial of request within patient record or add a link indicating the location of the amendment and denial of request. | |
| **Points to Remember:**   * This module is eligible for gap certification. * There is no requirement to append the specific data to the amendment. * Amendments are not specified to a particular format (i.e., free text or scanned). * The date and time recording of amendment information may be recorded in the audit log but may not be explicitly verified during this module. * See “[EHR Test-128] Privacy and Security Framework” document provided by Drummond Group to verify instructions on submitting required P&S attestation. | |

### Test Procedures

**1.1 Accepted Amendment**

|  |  |
| --- | --- |
|  | User accesses patient-1 record verifying no amendments exist for this patient. |
|  | User appends accepted amendment or adds a link indicating the amendment’s location. |

<INSERT SCREEN SHOTS>

**1.2 Denied Amendment**

|  |  |
| --- | --- |
|  | User accesses patient-2 record verifying no amendments exist for this patient. |
|  | User appends amendment request along with denial of request or adds a link indicating the amendment’s location. |

<INSERT SCREEN SHOTS>

**1.3 Privacy and Security Attestation**

|  |  |
| --- | --- |
|  | Health IT Developer submits Privacy and Security Framework document attesting to the approach used for certification testing. Additionally, attestation must specify if the criteria demonstrated in this test event applies to *all* certified modules or only specific modules. See “[EHR Test-128] Privacy Security Framework” provided by Drummond Group. |

<ATTACH or INSERT LINK TO DOCUMENTATION>

# Appendix A: Testing Guide

*This appendix contains more details and background on the testing requirements, including explanation on underlying standards, notable issues and best practice suggestions.*

Rev 01-Mar-2016 Additions

* This certification criterion’s focus is to support the instance where a HIPAA covered entity agrees or declines to accept a patient’s request for an amendment.
* The information appended or linked in the case of an accepted or denied amendment should at least have an indication as to the source of the information (i.e., patient or provider/organization).”

# Appendix B: ONC Criteria and Standards

*This appendix contains copy of the relevant ONC criteria and standards for this proctor sheet as a reference. In the event of a discrepancy with the ONC Final Rule, the ONC Final Rule takes precedence.*

**§170.315(d)(4) *Amendments****.* Enable a user to select the record affected by a patient's request for amendment and perform the capabilities specified in paragraph (d)(4)(i) or (ii) of this section.

(i) *Accepted amendment.* For an accepted amendment, append the amendment to the affected record or include a link that indicates the amendment's location.

(ii) *Denied amendment.* For a denied amendment, at a minimum, append the request and denial of the request in at least one of the following ways:

(A) To the affected record.

(B) Include a link that indicates this information's location.

# Change Log

|  |  |
| --- | --- |
| Revision | Change Description |
| 01-July-2016 | Re-numbered sections. Added section 1.3 for Privacy and Security attestation. |
| 01-Jun-2016 | Added text boxes to indicate if this P&S module applies to all certified criteria and reference to the attestation based on “Privacy and Security Framework” document. |
| 01-Mar-2016 | Initial Release. |
|  |  |
|  |  |
|  |  |
|  |  |

**About Drummond Group LLC**

Drummond Group LLC is a global software test and certification lab that serves a wide range of vertical industries.  In healthcare, Drummond Group tests and certifies Controlled Substance Ordering Systems (CSOS), Electronic Prescription of Controlled Substances (EPCS) software and processes, and Electronic Health Records (EHRs) – designating the trusted test lab as the only third-party certifier of all three initiatives designed to move the industry toward a digital future. Founded in 1999, and accredited for the Office of the National Coordinator Health IT Certification Program as an Authorized Certification Body (ACB) and an Authorized Test Lab (ATL), Drummond Group continues to build upon its deep experience and expertise necessary to deliver reliable and cost-effective services. For more information, please visit <http://www.drummondgroup.com> or email [ehr@drummondgroup.com](mailto:ehr@drummondgroup.com)

END OF DOCUMENT